

# ***Electron Cloud Observations: A Retrospective***

*K.C. Harkay*

*Advanced Photon Source, ANL*

*31th Advanced ICFA Beam Dynamics Workshop  
on Electron Cloud Effects*

*Napa, CA, Apr. 19-23, 2004*



*A U.S. Department of Energy  
Office of Science Laboratory  
Operated by The University of Chicago*



---

**Retrospective: 1. Looking backward; contemplating things past; 2. an exhibition of a representative selection of an artist's life work**

***Sometimes it is useful to contemplate an entire body of work: EC observations and analysis – selected examples shown***

# ***Acknowledgements – an incomplete list...***

---

**R. Rosenberg**

**J. Galayda**

**R. Macek**

**M. Furman**

**M. Pivi**

**H. Fukuma**

**A. Kulikov**

**R. Kirby**

**F. Zimmermann**

**G. Arduini**

**A. Novokhatski**

**J. Seeman**

**Y. Cai**

**A. Browman**

**T-S. Wang**

**J.M. Jiminez**

**L. Wang**

**K. Ohmi**

**E. Perevedentsev**

**Z. Guo**

**BEPC team**

**PEPII team**

**LHC/SPS team**

**PSR team**

**APS team**



# Outline

---

- **Brief history**
- **Electron cloud**
  - Effects
  - Production
  - Diagnostics
- **Experimental observations**
- **Cures**
- **Summary**



# Introduction

---

- A growing number of observations of electron cloud effects (ECEs) have been reported in positron and proton rings
- Low-energy, background electrons **ubiquitous** in high-intensity particle accelerators
- **Amplification of electron cloud (EC)** can occur under certain operating conditions, potentially giving rise to numerous effects that can **seriously degrade accelerator performance**
- **EC observations and diagnostics** have contributed to a **better understanding of ECEs**, in particular, details of beam-induced multipacting and cloud saturation effects
- Such **experimental results** can be used to **provide realistic limits on key input parameters for modeling efforts** and analytical calculations to improve prediction capability



# References & Workshops

---

**Review talks at Accelerator Conferences:** J.T. Rogers (PAC97), F. Ruggiero (EPAC98), K. Harkay (PAC99), F. Zimmermann (PAC01), G. Arduini (EPAC02), M. Furman, M. Blaskiewicz (PAC03)

[http://www.aps.anl.gov/asd/physics/ecloud/papers\\_top.html](http://www.aps.anl.gov/asd/physics/ecloud/papers_top.html)

**ICFA Beam Dynamics Newsletter No. 31, Aug. 2003:** special edition on High Luminosity e+e- Colliders <http://wwwslap.cern.ch/icfa/>

## Workshops, past:

- **Multibunch Instabilities Workshop**, KEK, 1997 [KEK Proc. 97-17](#)
- **Two-Stream ICFA Mini Workshop**, Santa Fe, 2000  
<http://www.aps.anl.gov/conferences/icfa/two-stream.html>
- **Two-Stream Workshop**, KEK, 2001 <http://conference.kek.jp/two-stream/>
- **ELOUD02**, CERN, 2002 <http://slap.cern.ch/collective/ecloud02/>
- **Beam-Induced Pressure Rise**, BNL, Dec. 2003 <http://www.c-ad.bnl.gov/icfa/>
- **ELOUD04**, Napa, CA, Apr. 2004 <http://www.cern.ch/icfa-ecloud04/>



# Origins

---

Electron cloud effects (ECEs) were first observed ~30 yrs ago in small, medium-energy proton storage rings; described as: Vacuum pressure bump instability, e-p instability, or beam-induced multipacting:

- **BNP Proton Storage Ring** [G. Budker, G. Dimov, and V. Dudnikov, Sov. Atom. E. 22, 5 (1967); see also review by V. Dudnikov, PAC2001, 1892 (2001)]
- **CERN Intersecting Storage Ring (ISR)** [Hereward, Keil, Zotter (1971)]
- **Proton Storage Ring (PSR)** [D. Neuffer et al. (1988, 1992)]

First observation in a positron ring ca. 1995: Transverse coupled-bunch instability in e+ ring only and not in e- ring:

- **KEK Photon Factory (PF)** [M. Izawa, Y. Sato, T. Toyomasu, PRL 74, 5044 (1995) and K. Ohmi, PRL 75, 1526 (1995)]
- **IHEP Beijing e+/e- collider (BEPC)**: experiments repeated and PF results verified [Z.Y. Guo et al., PAC1997, 1566 (1997)]

See articles by H. Fukuma, F. Zimmermann, *ICFA BD Newsletter No. 31, Aug. 2003*

# Origins (cont.)

---

Transverse multibunch instabilities at **CESR** discovered to be due to **trapped electrons in DIP leakage field** [T. Holmquist, J.T. Rogers, PRL 79, 3186 (1997)]

**SLAC PEP-II and KEKB** B-factories both under development; became concerned about ECEs:

Separate codes developed to model EC generation and instabilities (M. Furman, K. Ohmi, F. Zimmermann, and colleagues)

- PEP-II: coat chambers with low- $\delta$  TiN
- KEKB: add solenoidal windings around entire chamber

Calculated predictions of a **BIM resonance in LHC**, also under development, resulted in a **crash program** at CERN to study ECEs.

We were asked **why we don't observe ECEs in the APS** with Al chambers (high  $\delta$ ) and positron beams? Started experimental program in 1997-8 first with e+ beam, then since 1998 with e- beam.



# Outline

---

- Brief history
- **Electron cloud**
  - Effects
  - Production
  - Diagnostics
- Experimental observations
- Cures
- Summary



# *Electron cloud effects*

---

- Vacuum and beam lifetime degradation through electron-stimulated gas desorption
- Collective instabilities
  - e-p instability (coupled oscillations)
  - Transverse coupled-bunch instability (electron cloud “wake”)
  - Single-bunch instability; emittance blow-up (“head-tail” instability; luminosity degradation)
- Electrons trapped in spurious magnetic fields, e.g., distributed ion pump leakage field (CESR)
- Cloud-induced noise in beam diagnostics (e.g., wire scanners, ion profile monitors, etc.)
- Enhancement of other effects, i.e., beam-beam (?)



# Electron cloud production

- **Primary**
  - Photoelectrons
  - Ionization of residual gas
  - Beam loss on chamber walls
- **Secondary**
  - Secondary emission ( $\delta$  is secondary electron yield coefficient)
  - $\delta_0 \sim 0.5$

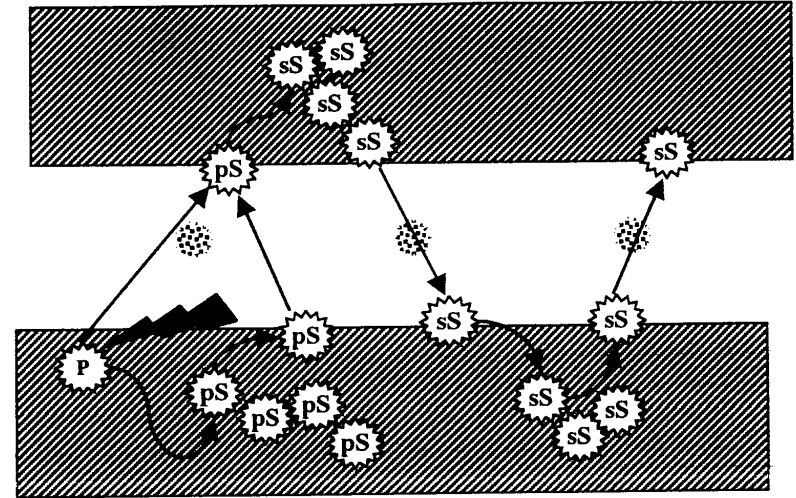


Figure courtesy of R. Rosenberg

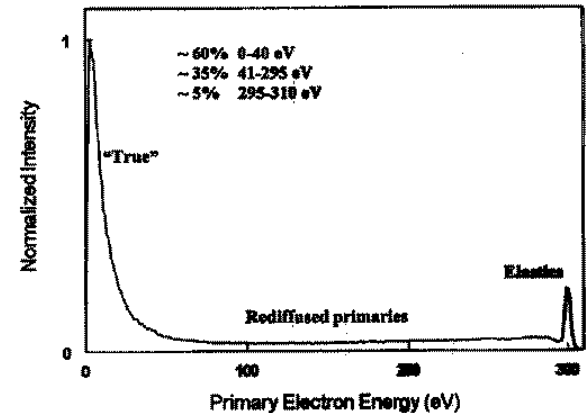
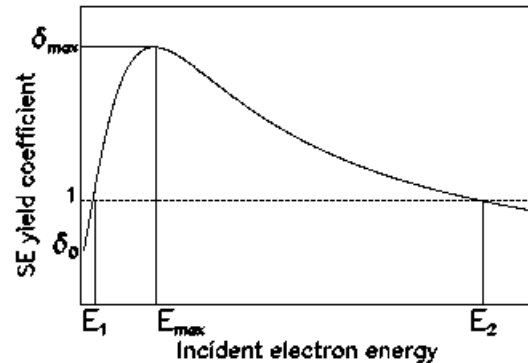
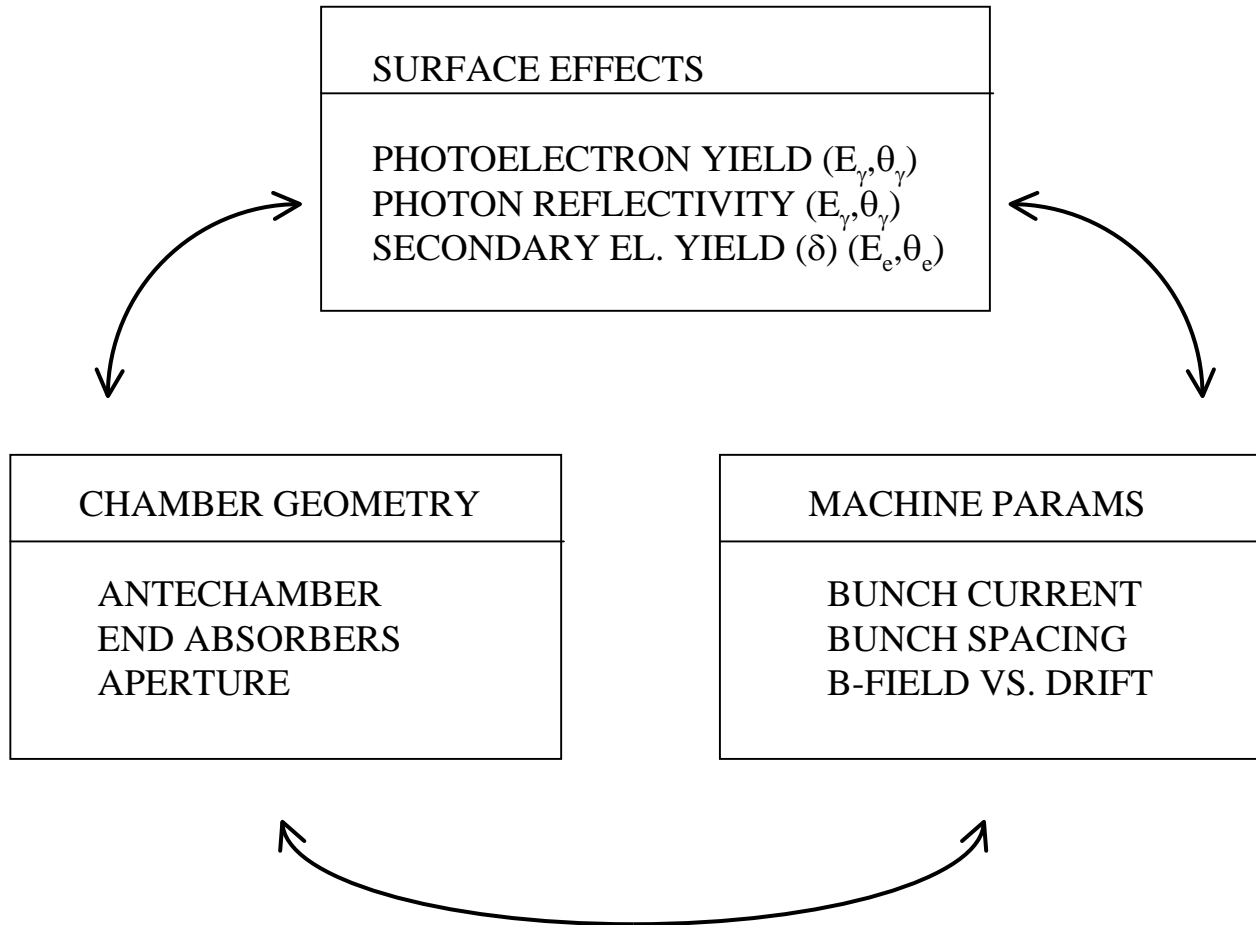


Figure courtesy of R. Kirby

# Electron cloud production (cont.)

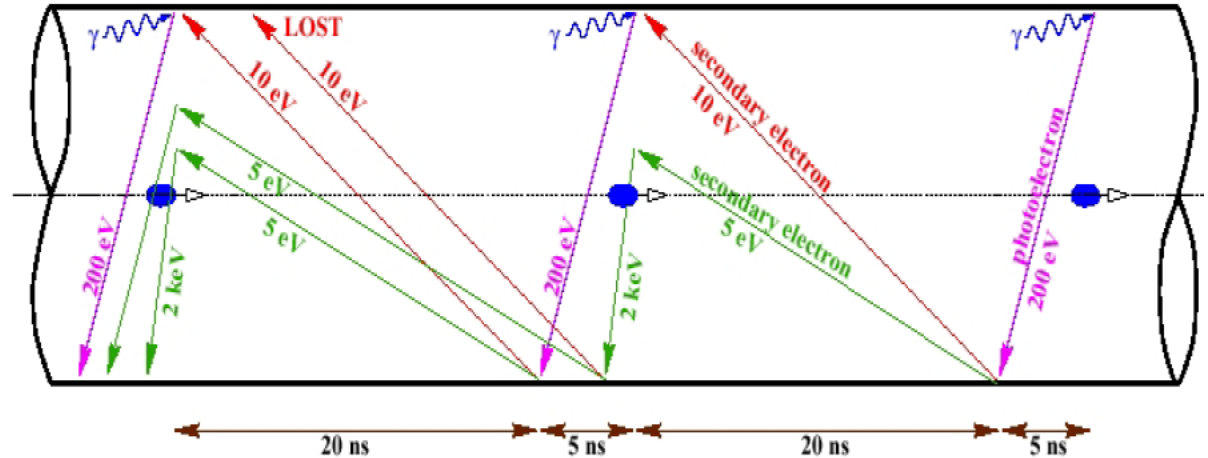


Photoelectrons can dominate the cloud if there is no antechamber

# Beam-induced multipacting

$$\tau_{\text{bunch}} < 1/f_e < s_{\text{bunch}}$$

(LHC, SPS-25 ns)

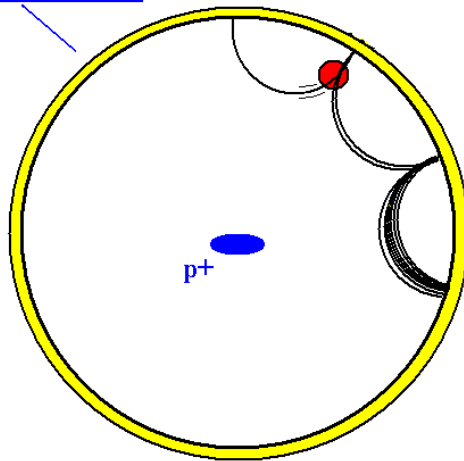


Schematic courtesy of G. Arduini

- $\delta > 1$  required for amplification
- **Energy distribution of SE leads to more general BIM condition (first suggested by S. Heifets and M. Furman)**  
[see also K. Harkay, R. Rosenberg, PRST-AB **6**, 034402 (2003) and K. Harkay, L. Loiacono, R. Rosenberg, PAC2003 (2003)]

# Another potential resonance

winding solenoid



if  $e^- \text{ tof} = t_{bb} \rightarrow$  resonance effect

Resonance multipacting in solenoid field when the electron time of flight is equal to the bunch spacing

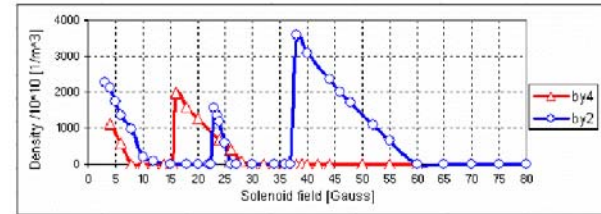


Figure 5: Electron cloud saturated density ( $N=2, 4$ ).

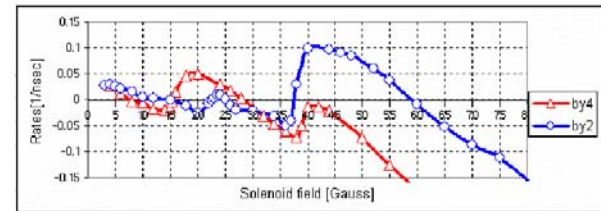
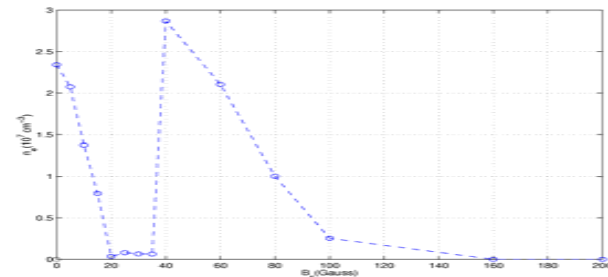


Figure 6: Growth/damping rates for ( $N=2, 4$ ).

$e^-$  density at by-2 and 4 RF buckets spacing, A. Novokhatski and J. Seeman (PAC03 paper)



$e^-$  density at by-2 RF buckets spacing, Y. Cai and M. Pivi (PAC03 paper)

# ***Standard beam diagnostics and EC***

---

**BPMs, strip electrodes, profile monitors**

**Vacuum pressure**

**Types of data:**

- noise, interference
- pressure rise due to electron-stimulated gas desorption
- instability mode spectrum
- bunch-to-bunch tune shift, beam size

**Pros:**

- Readily available
- Quantify EC distribution at beam

**Cons:**

- Indirect evidence for EC
- Biasing BPMs or clearing electrodes disturbs EC
- Difficult to extract properties of EC for accurate modeling



# ***Dedicated EC diagnostics***

---

**Retarding field energy analyzer (RFA)**

**Variations on RFA: time-resolved signals**

**Solenoid magnet (a cure for EC effects)**

**In-situ measurements of surface conditioning (lower  $\delta$ )**

**Types of data:**

- EC flux on chamber walls (field-free and in dipoles)
- EC energy distribution
- EC in gap between bunch passages

**Pros:**

- Direct measure of EC properties and indirect measure of beam-cloud interaction, without disturbing EC distribution

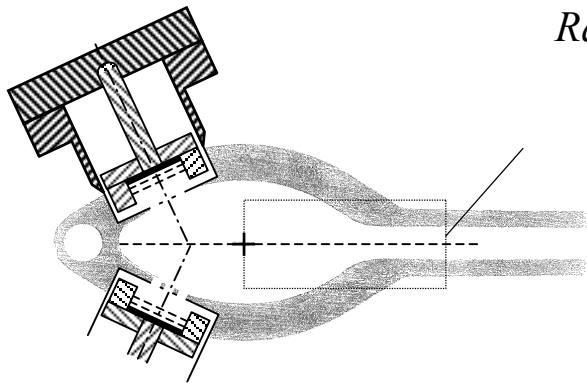
**Cons:**

- Only EC flux at wall; availability of space; limited energy resolution



# Retarding field analyzer (RFA)

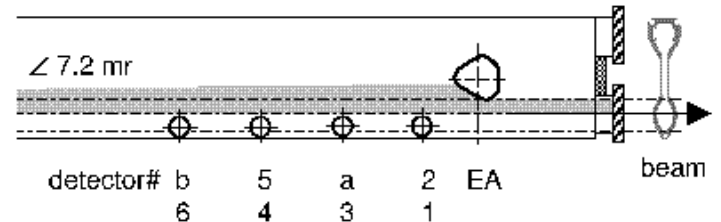
RFA measures distribution of EC colliding with walls



Radiation fan at  
det. #6 for  
 $E_\gamma \geq 4 \text{ eV}$

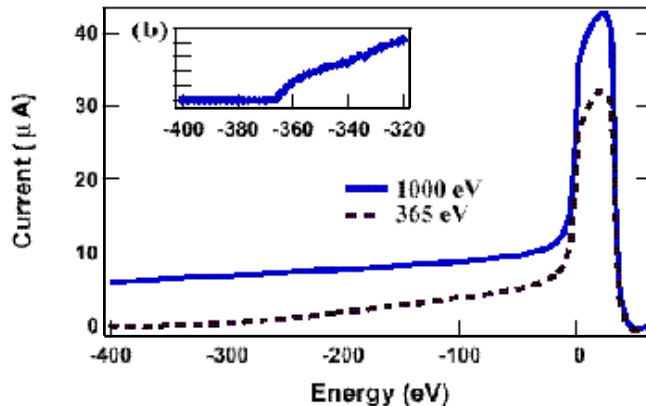
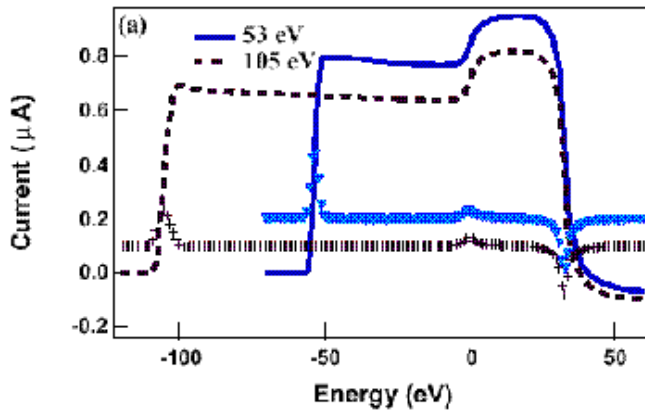
mounting on APS Al chamber **behind vacuum penetration** (42 x 21 mm half-dim.)

mounting on 5-m-long APS chamber, top view, showing radiation fan from downstream bending magnet

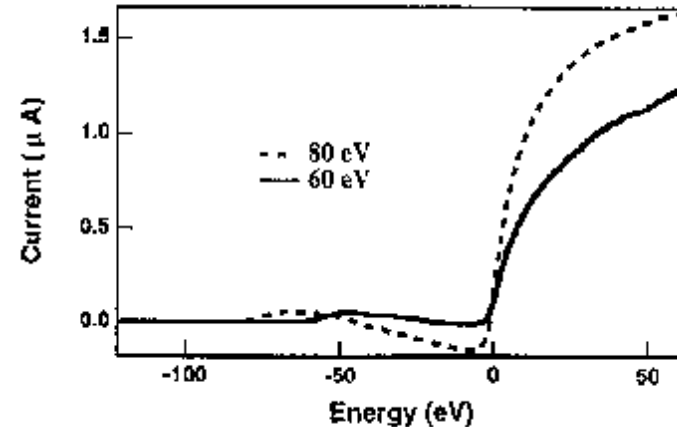


# Advantage of RFA to biased electrode

RFA, normal (top) vs. angular (bottom) incidence (collector biased +45 V)



Biased BPM, normal incidence



EC in chamber is not shielded from biased grid or collector

Varying electrode bias voltage

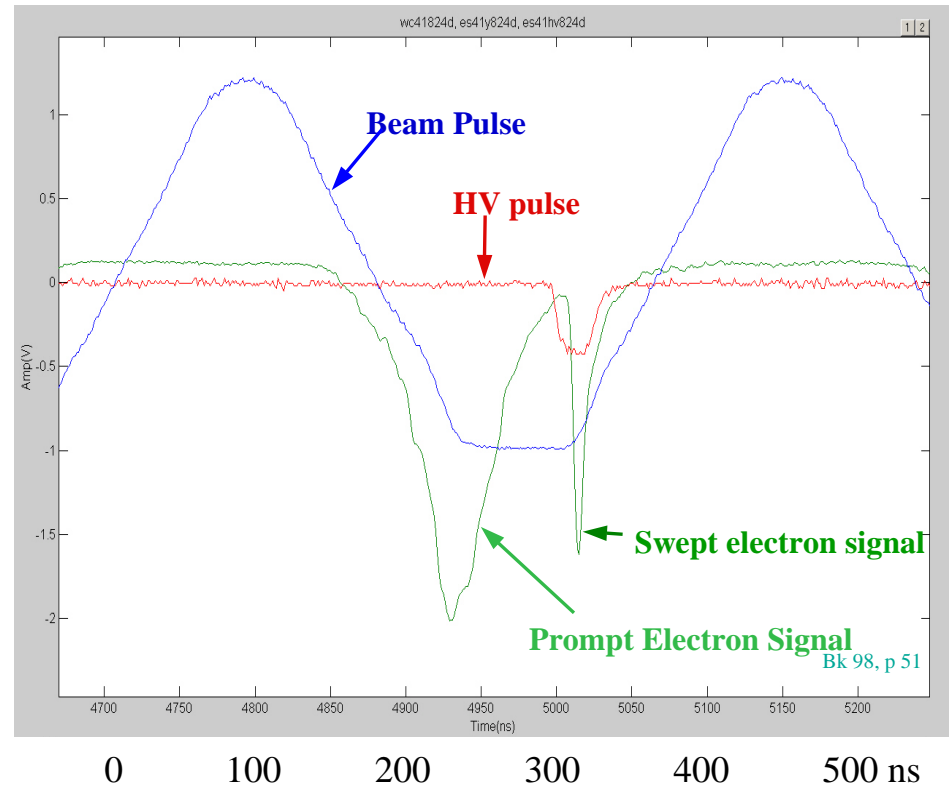
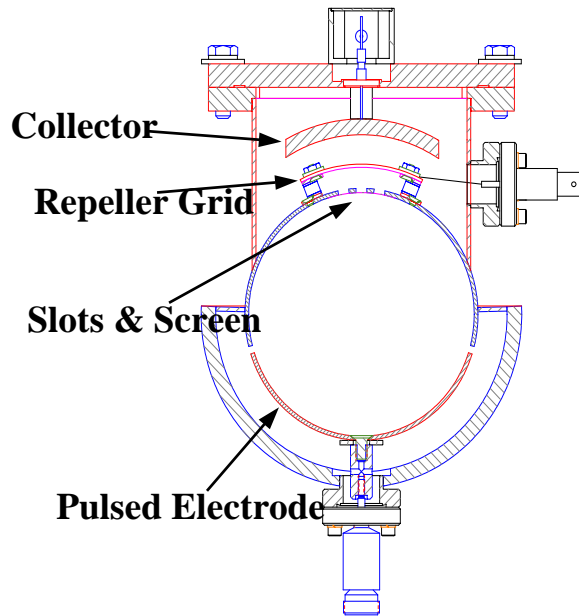
- Changes incident electron energy
- Changes collection length

Difficult to deduce true wall flux

# Electron sweeper at Proton Storage Ring (PSR)

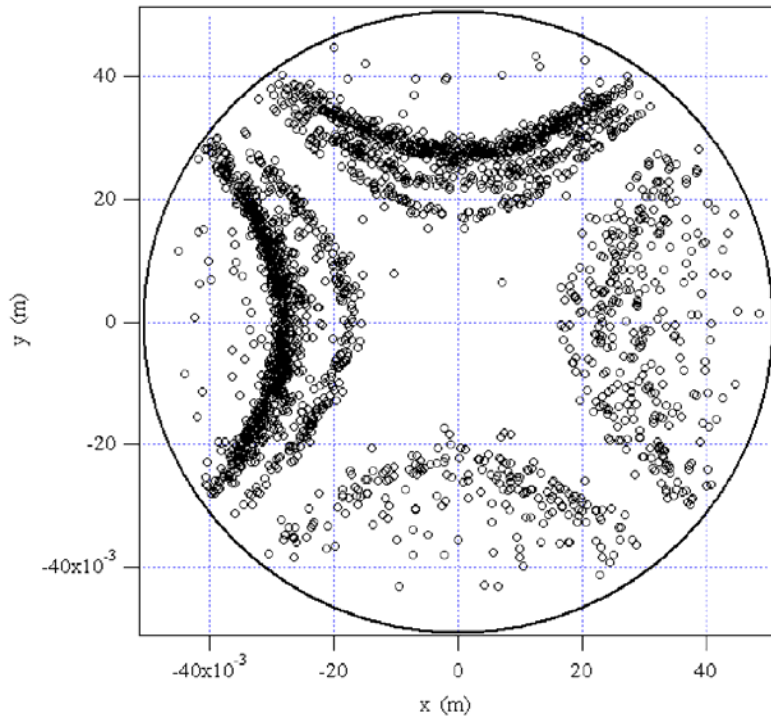
LANL Electron Sweeper (~500 V pulse)  
80MHz fast electronics added

Prompt electron signal due to trailing-edge  
multipactor; swept electrons survive gap  
(7.7  $\mu\text{C}/\text{pulse}$ , bunch length = 280 ns; repeller -25 V)

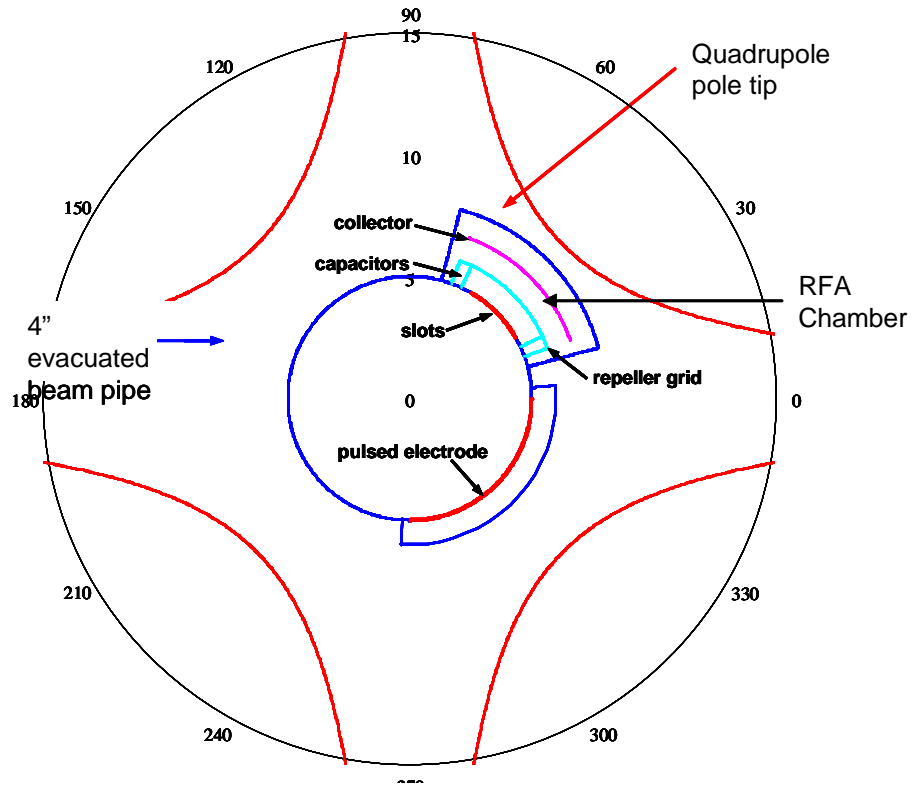


Courtesy R. Macek A. Browman, T. Wang

# Proposed electron sweeper for quadrupoles



Snapshot of trapped electrons in a PSR quadrupole 5  $\mu$ s after passage of the beam pulse. (Courtesy M. Pivi)



Schematic cross section of a proposed electron sweeping detector for a PSR quadrupole. (Courtesy R. Macek, M. Pivi)

# Outline

---

- Brief history
- Electron cloud
  - Effects
  - Production
  - Diagnostics
- **Experimental observations**
- Cures
- Summary



# *Experimental observations*

---

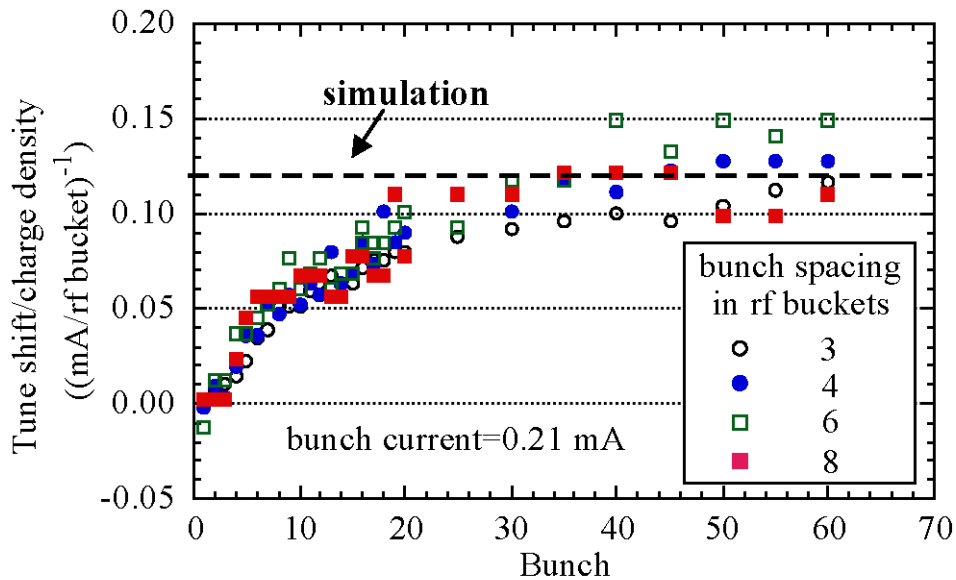
- Cloud build-up and saturation
- Vacuum pressure rise
- Surface conditioning
- Z-dependence
- Secondary electron (SE)- vs. photoelectron (PE)-dependence
- Proton rings
  - CERN SPS with LHC-type beams
  - Proton Storage Ring (PSR)
- Electron decay time
- EC-induced collective effects



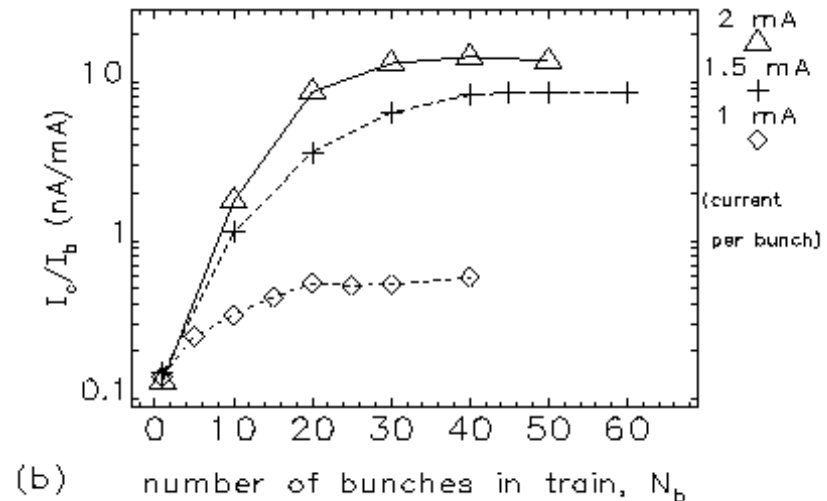
# Cloud build-up and saturation

**KEKB: EC saturates after 20-30 bunches per tune shift ( $4\lambda_{rf}$  bunch spacing)**

Figure courtesy of H. Fukuma, Proc. ELOUD'02, CERN Report No. CERN-2002-001(2002)



**APS: EC saturates after 20-30 bunches (middle of straight); level varies nonlinearly with bunch current ( $7\lambda_{rf}$  bunch spacing)**

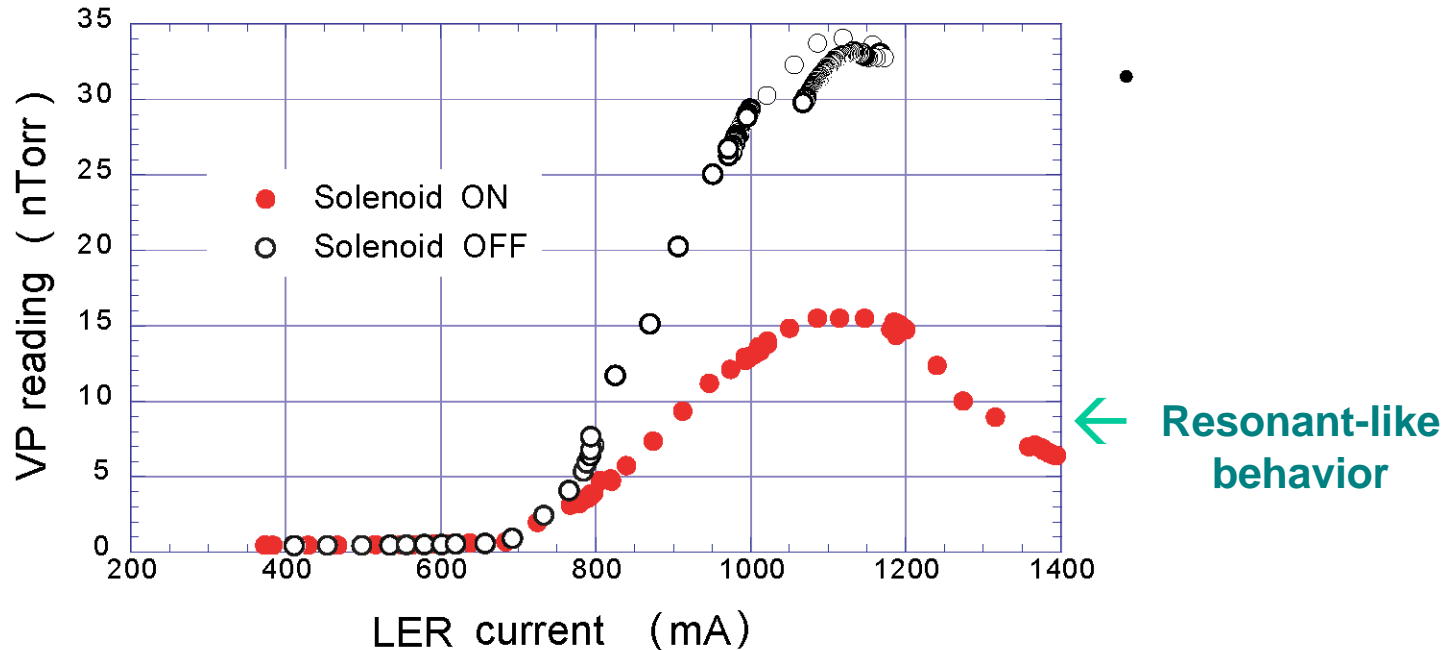


**Calculated EC density at saturation (e+ beam)**

- KEBK  $6e11$  m<sup>-3</sup> (no solenoid)
- APS  $10e10$  m<sup>-3</sup> ( " )
- PEP-II  $10e10$  m<sup>-3</sup> (between solenoids) (Kulikov's talk)

# Vacuum pressure rise

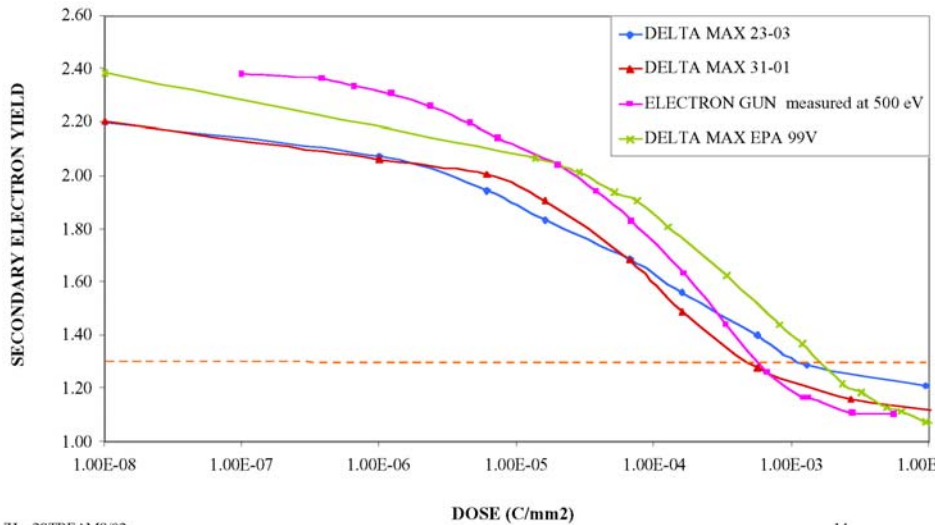
PEP-II: courtesy of A. Kulikov et al., PAC 2001, 1903 (2001)



Pressure rise also observed in KEKB, SPS, APS, RHIC (EC in latter?)



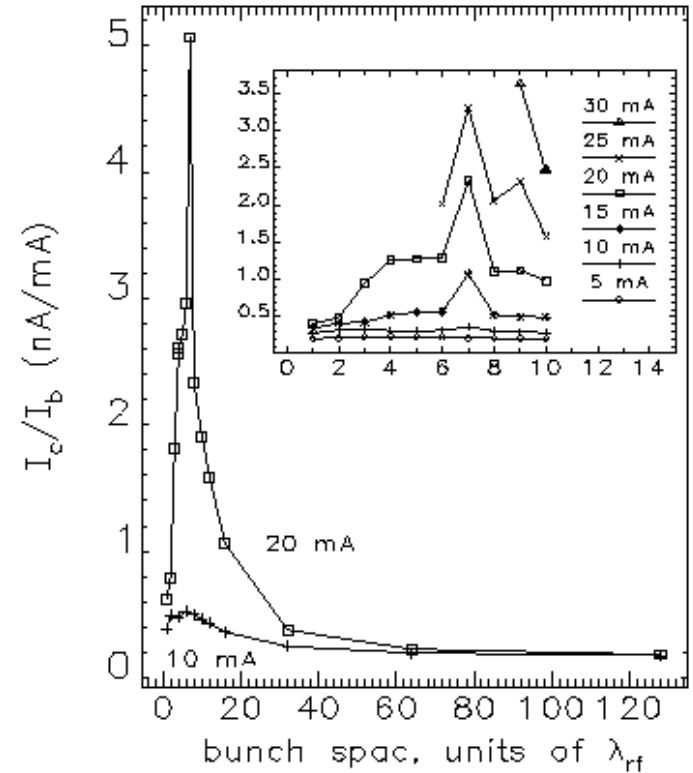
# Surface conditioning



3H-2STREAMS/02

14

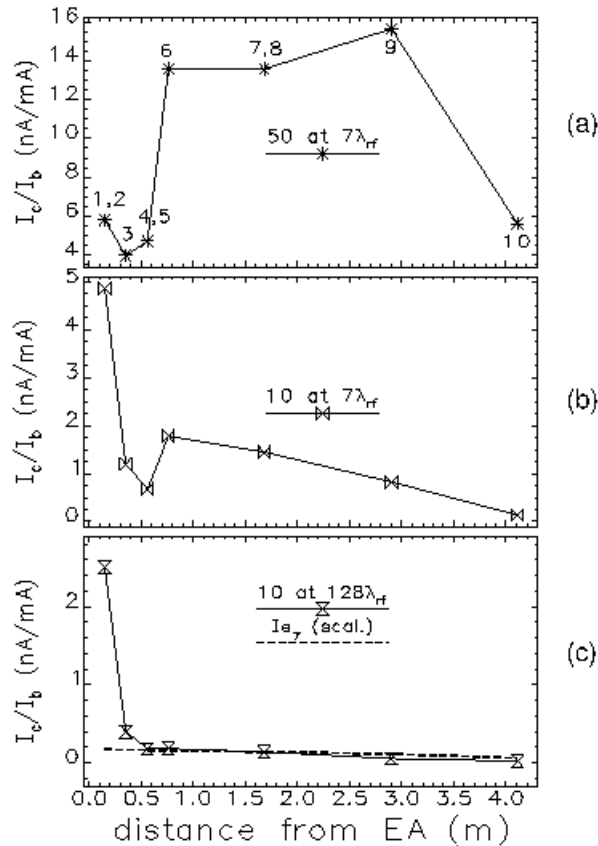
Courtesy of N. Hilleret, Proc. Two-stream Instability Workshop, KEK, Japan (2001)



Wall flux at **APS reduced 2x** after 60 Ah of surface conditioning, equivalent to  **$10^{-3} \text{ C/mm}^2$**  dose, consistent with **CERN data** (Cu) (APS chamber Al)

# Z-dependence

APS: Measured RFAs as function of bunch number, spacing, and distance from photon absorber (2 mA/bunch).



KEKB: EC with space charge in solenoid modeled with 3D PIC code

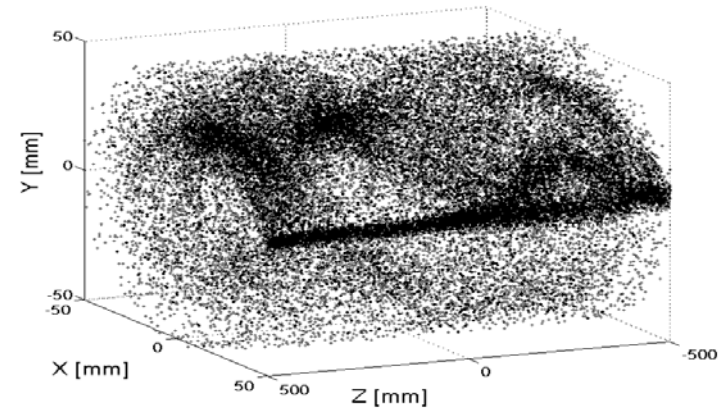
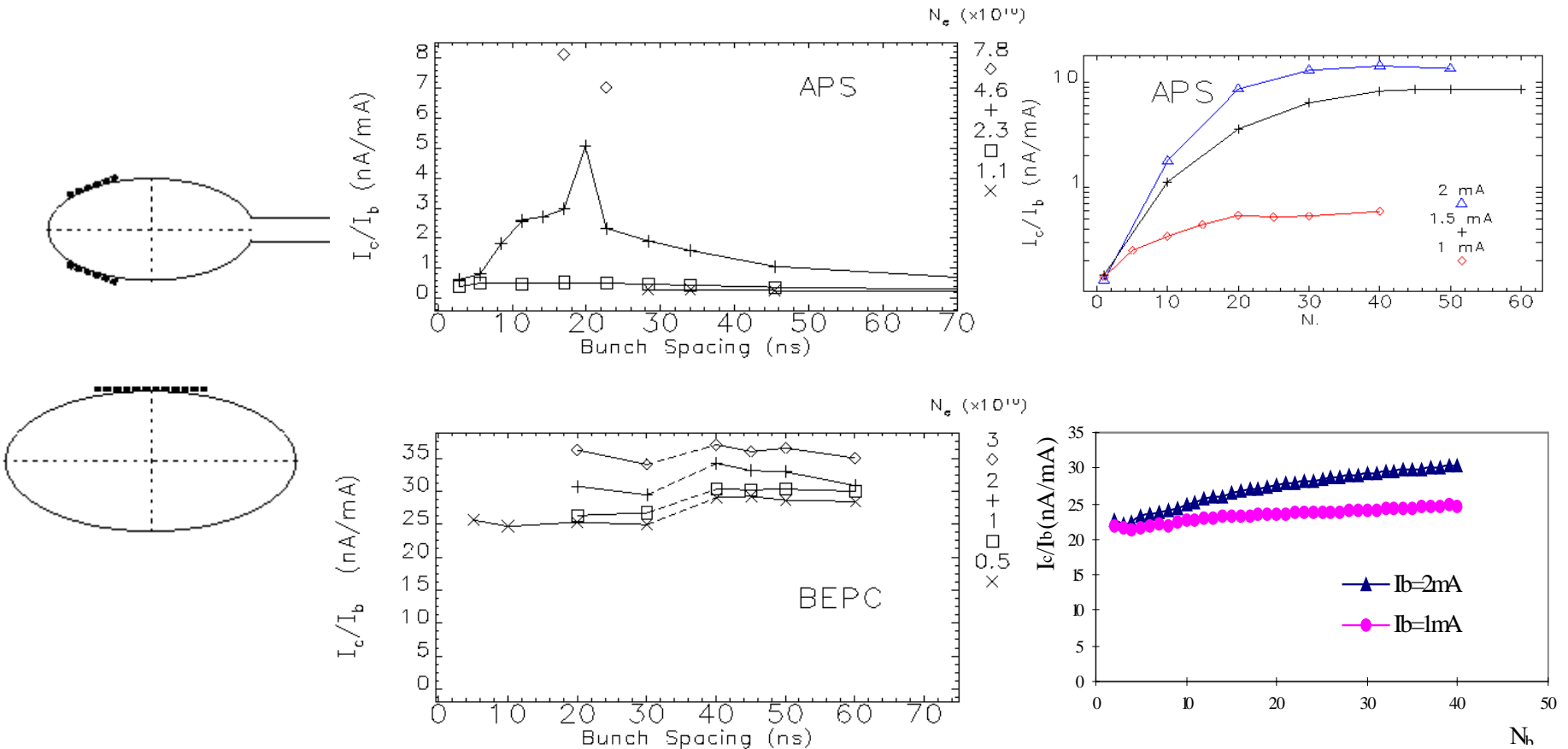


Figure courtesy of L. Wang, H. Fukuma, K. Ohmi, E. Perevedentsev, APAC 2001, 466 (2001)

# SE- vs. PE-dominated

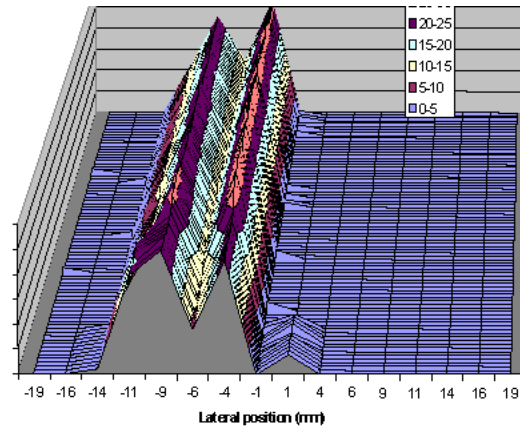
No BIM and nearly linear EC density observed in BEPC e+ ring



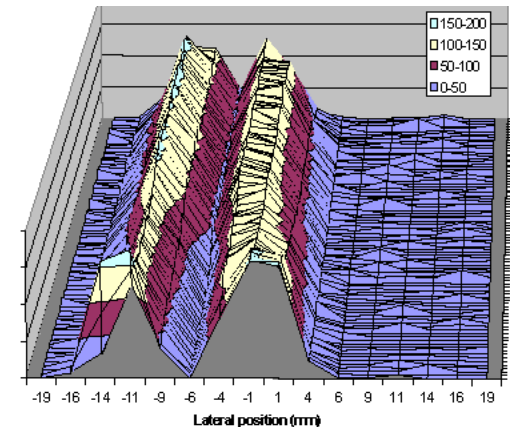
BEPC data courtesy of Z. Guo et al.

# CERN SPS – LHC-type beams

Measured EC distribution in special dipole chamber fitted with strip detectors

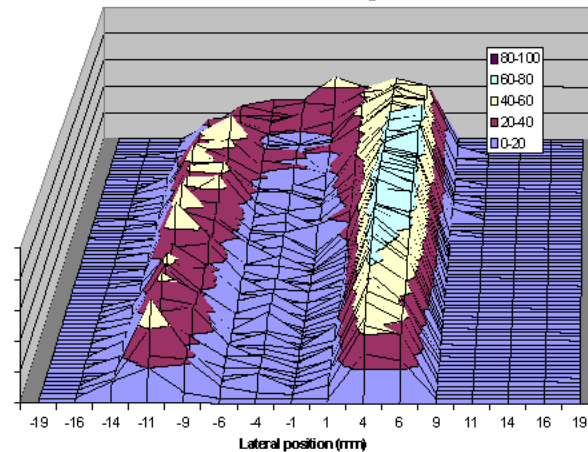


$5.0 \times 10^{10}$  p/b

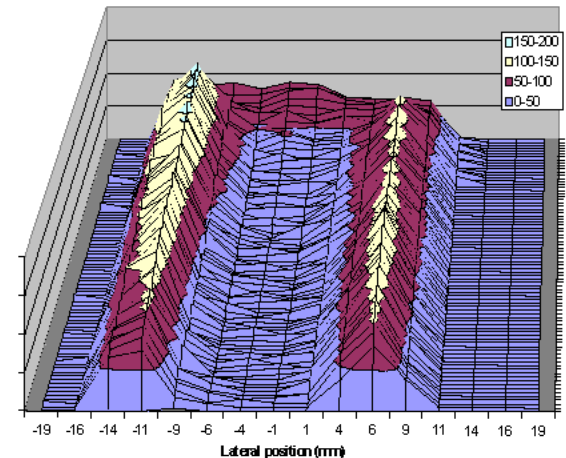


$6.0 \times 10^{10}$  p/b

Qualitatively confirmed simulation showing two stripes



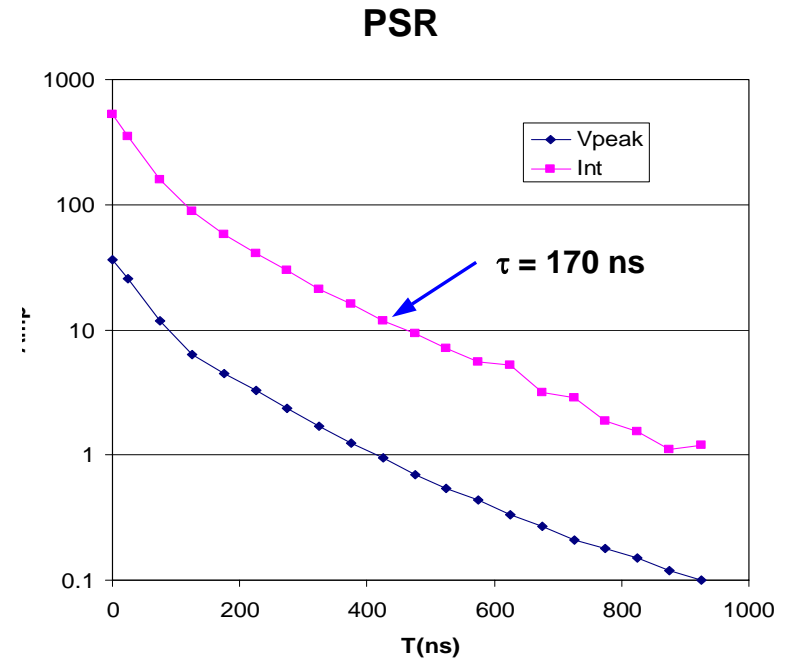
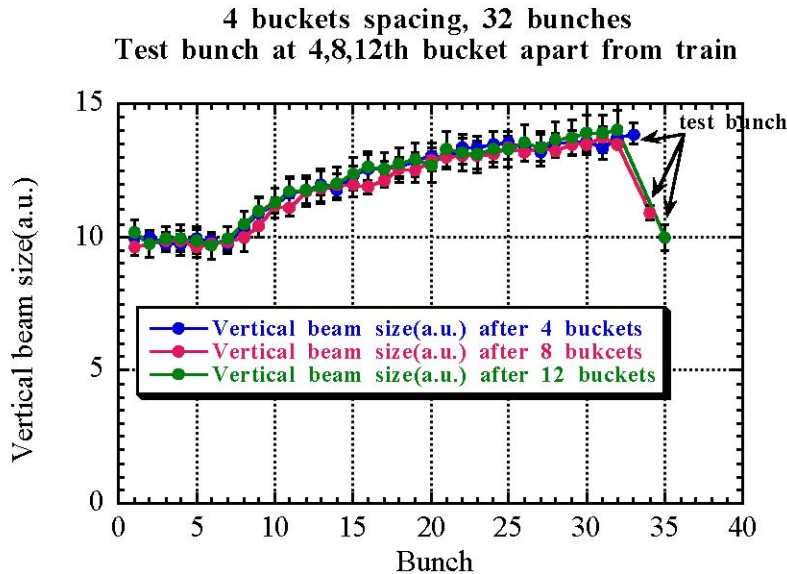
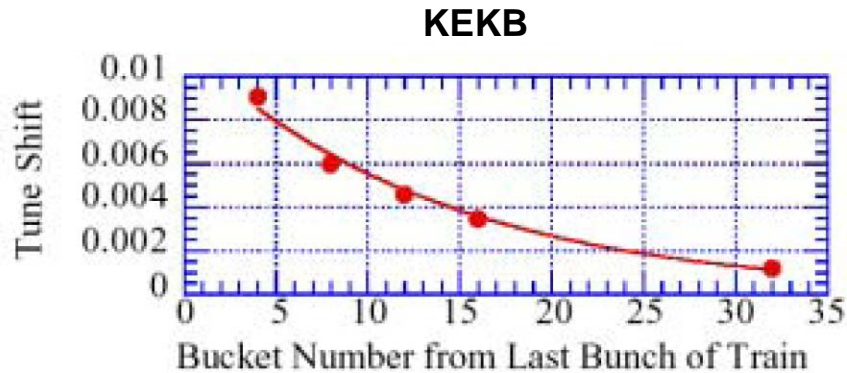
$7.9 \times 10^{10}$  p/b



$8.6 \times 10^{10}$  p/b

Figures courtesy of J.M. Jimenez, G. Arduini, et al., Proc. ECLLOUD'02, CERN Report No. CERN-2002-001 (2002)

# Decay time of electron cloud



Courtesy of R. Macek

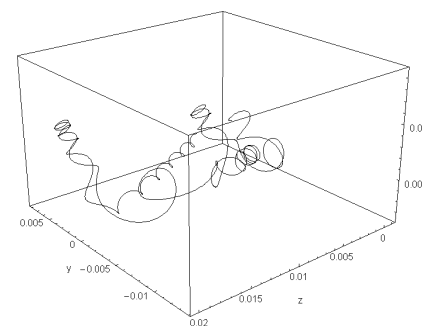
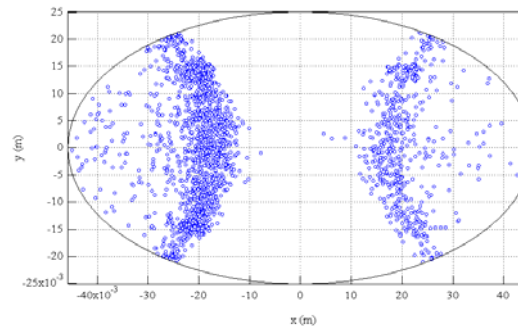
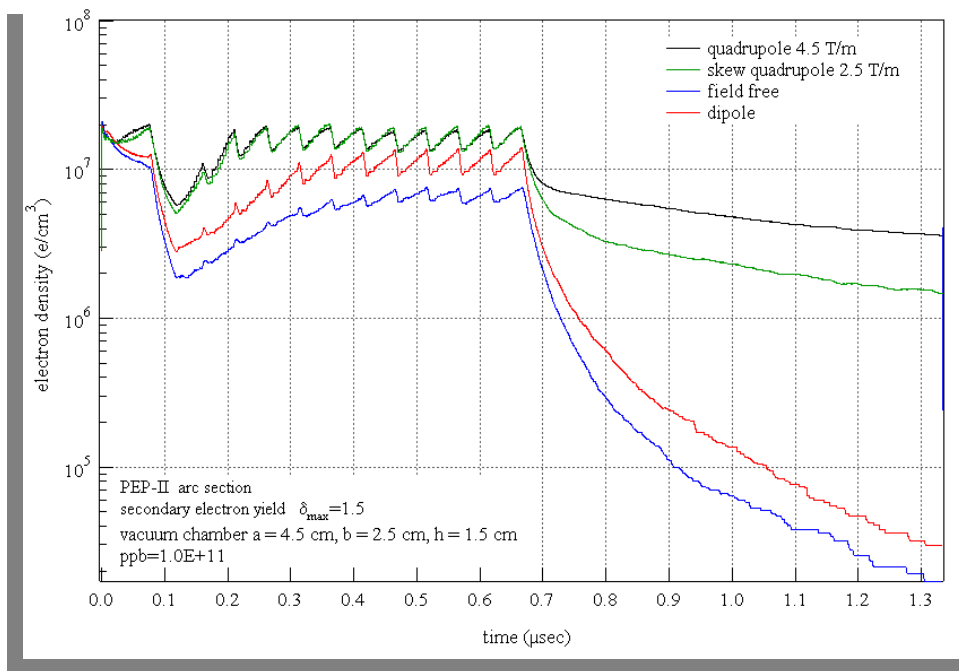
**KEKB: 25-30 ns vs.  
PSR: 170 ns decay time**

Courtesy of H. Fukuma, Proc. ECLLOUD'02, CERN Report No. CERN-2002-001 (2002)



# Electron trapping mechanism in quadrupole

Particular attention at quadrupoles where electron trapping mechanism is possible (magnetic mirror, see also Jackson .. !)



(ex: NLC MDR quad)

PEP-II arc simulations + skew quadrupole. Decay time after long gap.  
By-2 bucket spacing, 10 out of 12 bunches with mini-gaps,  $10^{11}$  ppb.  
Arc quadrupole gradient 4.5 T/m and skew quadrupole 2.5 T/m.  
Elliptic vacuum chamber 4.5 x 2.5 cm with antechamber.

$$\left| \frac{v_{\parallel,0}}{v_{\perp,0}} \right| = \left( \frac{B_{pipe}}{B_0} - 1 \right)^{1/2}$$

PEP-II - electron cloud studies - Oct 2003

Slide courtesy of M. Pivi 30

# *EC-driven collective effects*

	Horizontal plane	Vertical plane
KEK PF	--	coupled bunch (CB)
BEPC	--	CB
KEKB LER	CB	CB; single bunch
CESR	CB (DIPs)	CB (?)
PEP II LER	single	--
APS (e+)	CB	--
PSR	--	single
SPS-LHC	CB	single
PS-LHC	Single	--
DAΦNE	(below	threshold ?)

See also article by H. Fukuma, *ICFA BD Newsletter No. 31, Aug. 2003*

# ***Contributions to understanding ECEs come from a growing community***

---

**Modeling efforts and benchmarking continue to be refined as more physics added:**

- **Accelerator physics**
- **Vacuum, surface chemistry**
- **Plasma wakefield accelerators**
- **Heavy ion fusion**
- **Photocathode materials science, electron guns**
  - Modeling electron dynamics in MV fields requires accurate EC distribution



# *Electron cloud and other effects*

---

- Combined phenomena (enhancement) of beam-beam and electron cloud (E. Perevedentsev, K. Ohmi, A. Chao, PRSTAB 5, 101001 (2002))
- Combined effect of EC and intensity-dependent geometric wakes
- Microwaves as diagnostic or suppressor of cloud (S. Heifets, A. Chao, F. Caspers, F.-J. Decker) (new data: T. Kroyer's talk)
- Effects in electron beams: heat deposition

Calculations (*POSINST*) of power deposition on walls for superconducting ID give up to 1 W/m with electron beam (Al, 4x less with TiN). Code benchmarked for both e+ and e- APS beams.

# Outline

---

- Brief history
- Electron cloud
  - Effects
  - Production
  - Diagnostics
- Experimental observations
- **Cures**
- Summary



# Cures

---

- Avoid BIM resonance through choice of bunch spacing, bunch current, and chamber height; **include SE emission energy in analysis**
- Minimize photoelectron yield through chamber geometry (antechamber, normal incidence)
- Consider passive cures implemented in existing machines:
  - Surface conditioning or surface coatings to minimize  $\delta$ ; e.g. TiN, TiZrV NEG, **sawtooth (new: G. Stupakov's talk)**
  - Solenoids: azimuthal B-field keep SEs generated at wall away from beam; effective in machines dominated by ECs in the straights (i.e., *not* in the dipoles)
- Implement fast beam feedback
- Continue to refine models and continue to develop and implement electron cloud diagnostics, especially in B-fields

# Summary

---

- **Electron cloud effects are increasingly important phenomena in high luminosity, high brightness, or high intensity machines**
  - Colliders, Storage rings, Damping rings, Heavy ion beams
- **EC generation and instability modeling increasingly complex and benchmarked against *in situ* data:  $\delta$ ,  $\delta_0$ , photon reflectivity, and SE energy distributions important**
- **Surface conditioning and use of solenoidal windings *in field-free regions* are successful cures: will they be enough?**
- **What are new observations and how do they contribute to body of work and understanding physics of EC?**